

## **1.1 Chemical Hygiene Plan Scope and Application**

The Chemical Hygiene Plan (CHP) applies to faculty, staff and students on all campuses engaged in the laboratory use of hazardous materials, including those covered under the Occupational Health and Safety (OSHA) Standard 29 CFR 1910.1450, Occupational Exposure to Hazardous Chemicals in Laboratories, also referred to as the Laboratory Standard.

The CHP consists of two parts. The first part outlines the University policy for chemical hygiene and management in research laboratories by providing guidance for the safe use of chemicals, health hazards and routes of exposure, controlling or minimizing potential exposure, medical surveillance, training, waste disposal and emergency procedures. The second part, an essential component of the CHP, is a web-based Laboratory Assessment Tool for [Chemical Hygiene \(LATCH\)](#) developed by EH&S, designed to help individual laboratories prepare a laboratory-specific CHP, as required by OSHA. The PI and/or his/her designee is responsible for completing the laboratory –specific [LATCH](#) and reviewing and updating it no less frequently than annually.

The PI must ensure that all laboratory personnel:

- a. Are knowledgeable about the contents of the University's CHP and his/her laboratory-specific [LATCH](#) and how to access these plans.
- b. Have attended Laboratory Safety, Chemical Hygiene & Hazardous Waste Training, and other necessary function-specific trainings.
- c. Are trained in laboratory- or job-specific procedures and use of equipment before handling hazardous chemicals and equipment.
- d. Are familiar with the hazards in the laboratory and understand emergency procedures.

### **1.1.1 Chemical Hygiene Officer (CHO)**

The Chemical Hygiene Officer (CHO) is an employee who is designated by the employer, and who is qualified by training or experience, to provide technical guidance in the development and implementation of the provisions of the CHP. EH&S's Manager of Research Safety Programs, who serves as the University CHO, is responsible for:

- a. Keeping the senior administration informed on the progress of continued implementation of the CHP and bringing campus-wide issues affecting laboratory safety to their attention.
- b. Reviewing the University's CHP, at least annually, with University stakeholders and recommending revisions and improvements based on regulatory changes, external or internal lessons learned, and best practices designed to improve laboratory practices and the CHP.
- c. Providing expert guidance to the laboratory community in the area of chemical safety and serve as a point of contact for inquiries.
- d. Ensuring that guidelines are in place and communicated for particularly hazardous substances regarding proper labeling, handling, use, and storage, selection of PPE, and facilitating the development of standard operating procedures for laboratories using these substances.

- e. Serving as a resource for reviewing SOPs developed by PIs and laboratory personnel for the use, disposal, spill cleanup, and decontamination of hazardous chemicals, and the proper selection and use of personal protective equipment.
- f. Reviewing reports for laboratory incidents, accidents, chemical exposures, and near misses and recommending follow up actions where appropriate.
- g. Maintaining records of exposure monitoring and medical examinations.
- h. Consulting on a laboratory worker's return to work following a chemical exposure requiring medical consultation.
- i. Advising on the acquisition, testing and maintenance of fume hoods and emergency showers and eyewashes in laboratories where hazardous chemicals are used.
- j. Staying informed of plans for renovations or new laboratory construction projects and serving as a resource in assisting with the design and construction process.
- k. Assisting in the overall administration of the University's research safety training programs.

## **1.2 Health Hazards of Chemicals**

OSHA broadly defines hazardous chemical as any chemical that is classified as a health hazard or simple asphyxiant in accordance with the Hazard Communication Standard (29 CFR 1910.1200). Health hazard means a chemical that is classified as posing one of the following hazardous effects: acute toxicity (any route of exposure); skin corrosion or irritation; serious eye damage or eye irritation; respiratory or skin sensitization; germ cell mutagenicity; carcinogenicity; reproductive toxicity; specific target organ toxicity (single or repeated exposure); aspiration hazard. The criteria for determining whether a chemical is classified as a health hazard are detailed in Appendix A of the Hazard Communication Standard (§1910.1200).

### **1.2.1 Chemical Hazard Identification and Labelling**

The CHP ensures that information about chemical and physical hazards is communicated to laboratory personnel and students who may potentially come into contact with hazardous materials in laboratories. Effective hazard communication includes, but is not limited to: maintenance of current chemical inventories, providing ready access to [Safety Data Sheets \(SDS\)](#) for hazardous chemicals, proper labelling of chemical containers, posting of hazard signs where relevant, and [training of laboratory personnel with regard to relevant hazards](#).

### **1.2.2 Safety Data Sheets**

Chemical manufacturers are required to evaluate the hazards of chemicals they produce or import, and to provide this information to purchasers, at the time of shipment, through SDS's. Under OSHA's recently adopted [Globally Harmonized System of Classification and Labelling of Chemicals \(GHS\)](#), all hazardous chemicals manufactured in or imported to the United States of America will have accompanying SDS's in a standardized 13 section format. SDS's provide important information about a chemical's constituents, emergency aid/response measures, hazards, exposure control/protective equipment, among other information.

Laboratory staff are required to have immediate access to SDS's to aid them in evaluating the potential hazards of a substance prior to its use, as well as in the event of an emergency. SDS access and management is made available to all Columbia University research laboratories via [ChemWatch](#). [ChemWatch](#) is a web-based database of more than 10 million SDS's, available in English and 30+ foreign languages, for immediate access by the Columbia University staff. Personnel or students who desire a copy of the SDS for any hazardous chemical with which they work or may be exposed can also contact their PI, supervisor, instructor or EH&S for a copy.

### **1.2.3 Labels**

Commercial suppliers of chemicals label chemical containers with the chemical name, hazard information, and safe storage conditions. These labels must never be defaced or obstructed unless an emptied and rinsed container is to be used for another purpose. Chemicals produced within laboratories must also be labeled in English to meet these requirements.

When chemicals are transferred from primary, labeled containers to portable, secondary containers/vessels, the New York City Fire Code requires labeling of the portable, secondary container with a chemical name(s). [OSHA also requires labeling of portable, secondary containers under certain conditions](#), however it is good chemical hygiene practice to label all laboratory containers/vessels with a chemical name(s).

### **1.2.4 Other Chemical Information and Safety Data Sheet Resources**

- [Agency for Toxic Substances and Disease Registry](#)
- [American Chemical Society: Chemical Health and Safety Resources](#)
- [ChemWatch: Chemical Safety Information and Safety Data Sheets](#)
- [Centers for Disease Control and Prevention: Chemical Safety](#)
- [Fisher Scientific: Chemical Safety Information and Safety Data Sheets](#)
- [Occupational Health & Safety Administration: Laboratory Safety Guidance](#)
- [Occupational Health & Safety Administration: Occupational Chemical Database](#)
- [Safety Information Resources Inc \(SIRI\): Safety Data Sheets](#)
- [Sigma-Aldrich: Chemical Safety Information and Safety Data Sheets](#)
- [VWR International: Chemical Safety Information and Safety Data Sheets](#)

### **1.2.5 Chemical Exposure Routes**

A hazardous chemical's SDS will identify likely routes of exposure (see Section 1.2.3 above). In general, hazardous chemicals can enter the body via inhalation, skin (or eye) absorption, ingestion, and injection.

- Inhalation: For most chemicals in vapor, gas, mist, or particulate form, inhalation is the major route of entry. Once inhaled and deposited in lungs they can cause serious damage, from simple irritation to tissue destruction.
- Skin (or eye) absorption: Dermal or skin contact can cause simple redness or mild dermatitis to severe damage like destruction of skin tissue.

- Ingestion: Chemicals that inadvertently get into the mouth and are swallowed may harm the gastrointestinal tract or be absorbed and transported by the blood to internal organs where they can cause damage.
- Injection: Substances may enter the body if the skin is penetrated or punctured by contaminated objects. Effects can then occur as the substance is circulated in the blood and deposited in the target organs.

Section 1.4 Minimizing and Controlling Chemical Exposure provides important information on reducing exposure to hazardous chemical in the laboratory.

### **1.2.6 Toxicology/Health Effects of Chemical Exposure**

While the subject of toxicology is quite complex, it is necessary to understand the basic concepts in order to make logical decisions concerning the protection of personnel from the effects of hazardous substances. Toxicity of a substance can be defined as the relative ability of that substance to cause adverse effects in living organisms. This ability is dependent upon several conditions. The quantity or the dose of a substance determines whether the effects of the chemical are toxic, nontoxic or even beneficial. In addition to dose, other factors influence the toxicity of a substance such as the route of entry, duration and frequency of exposure, and inherent variations between species and within species.

Understanding the basic concepts of chemical toxicity and the routes by which chemicals enter the human body can help in making critical decisions about the manner in which a hazardous substance should or should not be used. Decisions such as whether a hazardous substance can be substituted by a less hazardous one, or whether it should be used only with an engineering control, such as in a chemical fume hood, glove box and what PPE is necessary to protect the user from potential exposure.

### **1.3 Guidelines for Working with Chemicals**

Good laboratory hygiene relies on adherence to protocols, procedures policies and best practices. Ensuring that proper work practices are followed will limit the probability of occupational exposure to hazardous chemicals, thus reducing the possibility of injury and illness.

#### **1.3.1 General Housekeeping and Laboratory Hygiene**

Disorderly laboratories and unsafe practices contribute to accidents and can hinder emergency response activities. The following list of general rules must be adhered to in every laboratory:

- Keep all aisles, doorways and emergency exits free from obstructions.
- Keep all emergency equipment including fire extinguishers, fire blankets, overhead emergency showers, eye-face wash/drench hose, and chemical spill kits free from obstructions.
- Remove gloves and wash hands and arms before leaving the laboratory or handling the telephone, door handle/knob; remove lab coat before leaving the laboratory.
- Remove gloves before handling common items like phones, instruments, door knobs, etc.

- Keep all work areas clean and uncluttered. Wipe benches with cleaners or disinfectants regularly.
- Avoid storing chemical containers, particularly glass bottles, on the floor. If unavoidable, it is required that all chemical containers on the floor be stored in a deep, corrosion-resistant plastic tray and placed away from high-traffic areas.

### **1.3.2 Food, Beverage, Smoking and Cosmetics Use in the Laboratory**

The consumption or storage of food and drink, as well as smoking, and the application of cosmetics, in any laboratories where chemical, biological, or radiological materials are used or stored is strictly prohibited.

### **1.3.3 Unattended Work**

The unattended operation of laboratory equipment or experiments is strongly discouraged. Unattended work can lead to laboratory accidents and property damage. If unattended work must be performed, the National Research Council's publication, [Prudent Practices in the Laboratory: Handling and Disposal of Chemicals](#), recommends that laboratory personnel design these experiments so as to prevent the release of hazardous substances in the event of interruptions in utility services such as electricity, cooling water, and inert gas. Laboratory lights should be left on, and signs should be posted identifying the nature of the experiment and the hazardous substances in use. Arrangements should be made for other laboratory personnel to periodically inspect the operation. Information should be posted indicating how to contact the responsible individual in the event of an emergency.

### **1.3.4 Working Alone/Working "Off Hours"**

Working with chemicals alone, at night, or otherwise in isolation, places individuals at special risk and should be avoided whenever possible. The PI is responsible for ensuring that employees and students perform only those tasks for which they are qualified by training and experience, especially during off-hours when they may be unsupervised or unaccompanied. PIs must also define for their staff any prohibited activities for laboratory personnel working alone or during off-hours, based on the hazard of the materials used or the activity performed, such as the use of pyrophoric materials,. All personnel working alone in the laboratory must hold an applicable [FDNY Certificate of Fitness](#).

### **1.3.5 Chemical Storage and Segregation**

Proper storage of chemicals in laboratories is a critical safety concern. Chemicals that have been stored improperly could react, forming hazardous products or resulting in a fire. Follow good storage practices no matter where the chemicals are stored (i.e. cabinets, refrigerators, or shelves). Carefully read the SDS and container label before storing a chemical as these will indicate any special storage requirements, as well as incompatibilities.

#### **Good Storage Practices**

- Chemicals shall be segregated in accordance with good practice and the [Columbia University Chemical Segregation and Storage Chart](#).
- Chemicals should be stored in approved, compatible containers.
- Chemicals should be stored below eye level with heavy objects stored on lower shelves.
- Corrosives should not be stored bare metal shelves. Instead, use plastic storage bins or shelves, or cover metal surfaces with protective, plastic-backed paper (Bench-Kote) and change frequently.
- When practical, chemicals in the same hazard class should be stored in corrosion-resistant secondary containers.
- DEA controlled substances shall be stored in locked containers as specified in the [Policy for the Acquisition, Use, and Disposal of Controlled Substances in Research](#).

### **1.3.6 Hazardous Substance Management Standards and Guidelines**

Federal, state and local regulations, as well as University policy, prescribe certain requirements for hazardous substances.

#### **OSHA Regulated Substances**

OSHA defines Permissible Exposure Limits (PELs) for several hundred hazardous substances. Additionally, there are numerous [OSHA substance-specific standards](#) requiring specific safety programs to reduce exposure to workers who may be exposed.

The OSHA substance-specific standards typically require training of laboratory personnel in safe handling and disposal practices, implementation of engineering controls (e.g., chemical fume hoods), work practices, administrative procedures (e.g., medical surveillance), PPE and other approaches will be used to reduce exposure and minimize personal risk, procedures for monitoring of airborne concentrations when any PELs\* may be exceeded, and communication of monitoring results to employees and retention of data for a specified time period.

\*A PEL may refer to any of the following:

Time weighted average (TWA) - the maximum allowable airborne concentration, averaged over an eight-hour workday, to which a person may be legally exposed.

Action level (AL) - a concentration below the TWA, at which some of the requirements of a substance-specific regulation must take effect.

Ceiling (C) - the airborne concentration that must never be exceeded. This largely applies to compounds that may be fatal or cause permanent impairment upon even brief exposures, such as carbon monoxide

Short-term exposure limit (STEL) - the maximum allowable exposure for (typically) a fifteen-minute period. A limited number of excursions over the TWA may be permissible (if they do not exceed ceiling) provided that the day's average exposure is below the TWA.

## **Formaldehyde/Formalin**

Formaldehyde is a potential carcinogen and its use is strictly regulated by OSHA. To ensure the hazards associated with formaldehyde and formalin use are anticipated, recognized, evaluated, controlled and that information concerning these hazards is communicated to affected employees consistent with the OSHA Formaldehyde Standard, [a Formaldehyde Exposure Control Policy and Formaldehyde training](#) program have been established. All formaldehyde and formalin users must be familiar with the policy and safe work practice, as well as attend training.

## **Pyrophoric Chemicals**

Pyrophoric reagents, such as organolithiums, aluminum alkyls and metal hydrides, are extremely reactive to oxygen and moisture. Precautions must always be taken to prevent contact with air or water. Despite their inherent hazards, pyrophoric materials can be safely manipulated and stored if the proper techniques and precautions are carefully followed. However, the consequences of even the smallest error during the manipulation of these substances can be catastrophic.

The importance of experience and comprehensive knowledge of the correct techniques for using pyrophoric and air-sensitive reagents cannot be overstated. Only qualified and experienced laboratory workers should ever manipulate these materials, and only after they have attained a complete understanding of the hazards involved and received hands-on instructions from knowledgeable peers regarding correct handling techniques. Some additional information regarding the [safe handling of pyrophoric materials](#) should be reviewed by all laboratory personnel where such substances are used or stored.

## **Hazardous Gases**

Laboratory storage or use of hazardous gases must be in accordance with pertinent regulations and University procedures. This may include storage in a ventilated enclosure and/or leak detection equipment. [EH&S must be consulted](#) when hazardous gases are considered for laboratory use

## **Cryogenic Materials**

Cryogenic materials such as liquid nitrogen present both a thermal and an oxygen displacement hazard. Laboratories possessing more than 60 gallons (generally two tanks or more) of liquefied cryogenic gases, such as liquid helium or liquid nitrogen, are required to have an oxygen monitor present in the laboratory. Oxygen monitor alarms must always be acknowledged by lab personnel and taken seriously as a matter of health and safety. [The Policy for Response to Oxygen Sensing Equipment in Laboratories.](#)

It is essential that laboratory personnel wear appropriate PPE, which will be specified in the laboratory-specific LATCH, when handling or using cryogenic materials.

## **Particularly Hazardous Substances (PHS)**

OSHA has established a category of chemicals known as particularly hazardous substances (PHS) for which additional precautions beyond normal standard operating procedures may be required.

Included in the PHS definition are select carcinogens, reproductive toxins, and substances with a high degree of acute toxicity. Laboratory personnel must follow laboratory-specific procedures to avoid exposure to PHSs.

Before these substances are used, laboratory personnel must be fully aware of the risks involved and be fully trained in the appropriate storage, handling, and disposal procedures prior to using the substance. PHS use and storage must be assigned to designated areas with the laboratory. EH&S can evaluate PHS procedures, prescribe special limitations, necessary equipment and facilities or operating conditions, PPE and additional personnel training requirements, as needed.

## **Controlled Substances in Research**

The acquisition, use and disposal of [controlled substances](#) in New York State are strictly regulated by the New York State Department of Health (NYS DOH) Bureau of Narcotic Enforcement and the United States Department of Justice Drug Enforcement Administration (US DEA). These regulations are aimed at preventing diversion of controlled substances through a variety of administrative and physical controls. To assist researchers in understanding and meeting their individual obligations under these regulations, Columbia University has established a [Policy for the Acquisition, Use and Disposal of Controlled Substances in Research](#)

In addition to the Policy, several [Appendices, Resources and Reference Documents](#) have been prepared to assist researchers in navigating the requirements for controlled substances.

## **Nanoparticles**

Nanomaterials are substances that are manipulated at the atomic or molecular level and have at least one dimension between 1 and 100 nanometers. Research into the health effects of exposure to engineered nanomaterial is ongoing. Until the health effects of various nanomaterials are better characterized, it is recommended that their handling be approached with caution, accompanied by the use of the standard engineering controls, administrative controls, and PPE used for manipulating other hazardous materials in the laboratory setting, and that waste resulting from nanomaterials be managed as hazardous waste.

### **1.3.7 Chemical Substitution**

One of the most effective ways to reduce the risk of exposure to a hazardous material is to eliminate it entirely from the work environment. This can be accomplished by replacing hazardous materials with safer, less hazardous ones capable of performing the same function. EH&S can assist laboratory personnel in evaluating work practices to identify candidates for substitution. MIT offers a valuable tool for assisting laboratory personnel in choosing safe substitutions for hazardous chemicals and processes. Visit the Massachusetts Institute of Technology [Green Chemical Alternatives Wizard](#) for more information.

### **1.3.8 Mercury-Containing Devices**

Mercury is a toxic metal, and must be carefully cleaned up if it is spilled. To minimize exposure to mercury vapors and hazardous waste generated from broken thermometers, EH&S has

established a [mercury substitution program](#). EH&S will replace a mercury thermometer with an alcohol thermometer, at no cost to the laboratory, with the understanding that the laboratory will order mercury-free thermometers thereafter.

The [Mercury Device Registration Program](#), which is a complement to the perennial mercury thermometer exchange program, will allow for improved tracking of mercury-containing devices and allow EH&S to focus its efforts helping those who absolutely must maintain a mercury device(s), to establish safe storage and handling procedures, prepare them with necessary knowledge about immediate, defensive actions when a mercury release occurs, and ensure EH&S has adequate resources at the ready to assist laboratories in the event of an incident.

### **1.3.9 Discarding Used Laboratory Equipment**

Prior to disposal of any laboratory equipment, the end-users must ensure that equipment is free of any contamination prior to handling by Facilities Operations or any outside contractors. The [equipment clearance process](#) details the necessary steps.

### **1.3.10 Vacating Laboratory Space**

Research Scientists vacating University facilities or relocating within the University are responsible for leaving laboratories in a state suitable for re-occupancy or renovation by following the [Procedures for Vacating a Laboratory](#). [EH&S Research Safety Specialists](#) will assist laboratories in completing the vacating process. Laboratory space must not be re-occupied and no renovation work started until the space has been issued final clearance EH&S.

## **1.4 Minimizing and Controlling Chemical Exposure**

Occupational hygiene is the science devoted to the anticipation, recognition, evaluation, prevention, and control of environmental factors or stresses arising in or from the workplace which may cause sickness, impaired health and well-being, or significant discomfort among workers. This applies to all workplace hazards, including chemical exposures. Understanding the hazards of chemicals and how exposures can occur is critical to minimizing and controlling exposures. The recognized hierarchy of controls dictates that the elimination of a hazardous substance or its substitution with a less hazardous substance should be first approach. If elimination or substitution is not feasible, or does not completely eliminate a potential hazard, then engineering controls must be implemented to minimize the potential exposure hazard. If a hazard is not completely controlled following the implementation of engineering controls, then administrative and work practice controls must be employed, followed by the careful selection and use personal protective equipment in accordance with the University's [Policy for Personal Protective Equipment in Research Laboratories](#).

### **1.4.1 Elimination and Substitution**

Removing the hazard from the workplace is the most effective methods of minimizing exposure. Elimination of a hazardous substance from a process (aka “engineering out the hazard”,) or substitution of a hazardous substance with a less hazardous substance should always be the first

approach in trying to minimize chemical exposures. The [American Chemical Society Green Chemistry Institute](#) and the [USEPA's Green Chemistry website](#) are two resources offering information focused on minimizing the use and generation of hazardous substances.

### **1.4.2 Engineering Controls**

If a chemical hazard cannot be eliminated, the next best strategy for its control is at its source with the use of engineering controls. Engineering controls are devices or actions that automatically isolate or physically limit exposure to a hazard, thereby reducing the risk to personnel. For this reason, engineering controls are often considered the “first line of defense” for reducing exposure to hazardous substances. Engineering controls must only be used as designed and not be modified unless appropriate testing and certification clearly indicates that protection of personnel will be equal to or greater than the original protection afforded by the control device.

The following is a summary of the most common engineering controls employed in academic research laboratories to control chemical hazards:

#### **Chemical Fume Hood**

A Chemical Fume Hood (CFH) is a device, integrated into the ventilation system of a laboratory, which serves to isolate airborne contaminants from laboratory workers by means of unidirectional, exhausted airflow. Typically considered the primary engineering control for hazardous chemicals in the laboratory, CFHs must be properly used and maintained to afford the user proper containment of hazardous airborne contaminants. For specifics on the proper use and maintenance of CFHs, please refer to the University’s [Chemical Fume Hood Policy](#). The following general guidelines must be observed when using a CFH:

- Use a ducted CFH for work with hazardous gases, volatile or potentially airborne hazardous substances, malodorous chemicals and OSHA Particularly Hazardous Substances, such as acute toxins, carcinogens, mutagens, and reproductive hazards.
- Avoid storage of materials in chemical fume hoods as it disrupts air flow, creating turbulence and the potential for exposure to airborne hazards.
- Work only within the sash height range certified by EH&S. Containment of airborne hazards cannot be assured outside of this range. Do not use a CFH unless it has been certified within the past twelve months by EH&S, which can be determined by observing the Fume Hood Certification sticker affixed to the hood.
- A fume hood that is identified as not functioning properly must be reported immediately to Facilities Operations (CUMC: 305-7367, Morningside: 854-2222 and LDEO: 845-365-8822) and a laboratory representative should place an “Out of Service-Do Not Use” sign on a hood. Do not use a CFH that is posted with an “Out of Service-Do Not Use” sign or is otherwise believed to be not functioning properly.
- Tip: Tape a Kim-Wipe to the bottom of the sash to verify the direction and qualitative force of the airflow.

#### **Other Local Exhaust Ventilation**

When hazardous chemicals cannot be used in a CFH, extractor arms/ventilation may be needed to minimize exposure. Extractor arms allow for capture and exhaust of hazardous substances close to the source of use, before their release into the laboratory environment. Although not as effective as a CFH, as CFHs have a high degree of containment, these devices, if properly designed and used, can be effective. These devices must be properly designed and installed to ensure their efficacy. Ventilated hazardous gas cabinets are another type of local exhaust ventilation, in which hazardous gases are stored and used to ensure segregation from the laboratory environment and ventilation of the hazardous gas(es) in the event of a leak.

### **Glove Box**

A Glove Box is a sealed enclosure designed for the manipulation of high hazard substances in a safe manner. Built into its sides are gloves arranged to allow the user to place their hands into the gloves and perform tasks inside the box without breaking containment. The glove box is usually transparent to allow the user to see the materials being handled within. It is important to avoid wearing hand jewelry, watches or long nails or using sharp objects, such as needles, blades, etc., as they may puncture the gloves and breach containment.

### **1.4.3 Administrative Controls**

Chemical labeling (see Section 1.2.4), training (see Section 1.8) and laboratory-specific standard operating procedures (SOPs) are the most common administrative controls in academic research laboratories. The [Columbia University Guidelines for Laboratory Design](#), developed by the Columbia University Laboratory Design Work Group (LDWG), is an additional administrative control for the safe, efficient and consistent design of research laboratories. The Guidelines are primarily intended for the design and construction of new “wet” laboratories or renovations in which significant modifications will be made and where building systems and infrastructure are adaptable to the Guidelines. Although not primarily intended for “dry” or computational laboratories, components of the Guidelines may apply and should be incorporated in the design of these laboratories. The Guidelines do not take the place of code requirements or standards, but rather serve as a supplement in aiding the project team define and detail the scope of design.

### **Equipment Evaluation and Maintenance**

Laboratory and emergency equipment shall be evaluated and maintained in accordance with regulation, University policy and, where appropriate, recognized industry standards. Refer to the appropriate sections of the Manual for equipment specific information.

### **Work Practices and Standard Operating Procedures**

Adhering to proper work practices reduces the chance of occupational exposure to hazardous substances. Laboratory-specific SOPs should be developed by knowledgeable laboratory personnel and reviewed with all laboratory personnel to ensure a thorough understanding of the procedures. The Safety Rules and Policies noted in [Prudent Practices in the Laboratory: Handling and Management of Chemical Hazards](#) and those listed below can help minimize employee

exposure to hazardous chemicals and should be employed in conjunction with laboratory-specific SOPs:

- [Attend all required safety training courses.](#)
- Establish and follow laboratory SOPs when conducting laboratory work involving hazardous substances or equipment.
- Never eat, drink, chew gum or tobacco, smoke or apply cosmetics in the laboratory.
- Select, use and maintain all personal protective equipment in accordance with the University's [Policy for Personal Protective Equipment in Research Laboratories](#).
- Store/segregate hazardous materials according to hazard class.
- Report unsafe conditions to a laboratory supervisor, PI and/or EH&S.
- Keep all work areas clean and uncluttered.
- Scale the size of the experiment and use the smallest amount of the material that is necessary for the work to be done.
- Remove gloves and wash hands and arms with soap and water after removing gloves and before leaving the work area or handling common items like phones, instruments, door knobs, etc.
- Properly manage and dispose of all hazardous substances.

#### **1.4.4 Personal Protective Equipment**

Personal Protective Equipment (PPE) represents the “last line of defense” against potential exposure. PPE should never be used as a substitute for proper engineering controls and prudent work practices, but only as an additional measure of protection once all other reasonable precautions have been taken. The University's [Policy for Personal Protective Equipment in Research Laboratories](#) delineates requirements for the selection, use and maintenance of PPE in all laboratories where hazardous substances are stored or used.

### **1.5 Measuring Chemical Exposure**

#### **1.5.1 Determination of the Need for Exposure Measurements**

The vast majority of chemicals used in research laboratories, when used in research quantities, do not pose a significant health hazard if SOPs and good laboratory hygiene practices are employed. Laboratory staff must not be exposed to OSHA regulated substances above permissible exposure limits. An exposure assessment, performed by EH&S, is designed to evaluate the chemical(s) used in terms of its concentration and quantity, frequency of use, manner in which it is used along with the available engineering controls, in an effort to determine the potential exposure to a user. An exposure assessment will be accompanied by recommendations on methods to reduce exposure, where exposure may exist, and will typically follow the hierarchy of controls (see Section 1.4). The exposure assessment is an important component of the CHP in protecting University employees from potential exposure to hazardous substances.

#### **1.5.2 Exposure Assessment Strategy**

EH&S utilizes information from various sources to develop its exposure assessment strategy,

including laboratory chemical inventories (see Section 1.2.2), laboratory safety surveys, chemical purchase records, and chemical waste identification. Exposure assessments are carefully planned and coordinated with laboratory personnel to ensure that work activities representative of the exposure potential being assessed are being performed during the assessment. Laboratory hygiene practices will be reviewed and may be qualified and/or quantified with surface wipe sampling and analysis. Personal and area air sampling/monitoring studies may be used to quantify the airborne concentration of a hazardous substance, since inhalation is typically the primary route of concern for exposure to hazardous chemicals. The results of the assessment will be reviewed and evaluated in comparison to accepted Occupational Exposure Limits (OELs).

### **1.5.3 Frequency of Exposure Measurements**

As noted in Section 1.5.2, an initial exposure assessment may include personal air sampling, with samples collected in the employee's breathing zone to represent an employee's exposure during a full shift [e.g., 8-hour time weighted average (TWA)] and/or 15 minute Short-Term Exposure Limit (STEL). EH&S will consult with laboratory personnel to determine which groups of employees have potential exposure to establish similar exposure groups (SEGs) so representative exposure samples can be collected.

Depending on the results of the exposure assessment, monitoring may need to be repeated, as required by OSHA or determined EH&S. An exposure assessment may also be repeated if the laboratory makes a substantive change (i.e., change in chemicals, equipment and/or control measures) to the process under which a prior exposure assessment was performed. If substantive changes do occur, laboratory personnel must contact EH&S for a re-evaluation. Additionally, an exposure assessment may be repeated at the request of an employee or when any employee reports signs or symptoms of exposure. EH&S will advise the laboratory when exposure monitoring can be discontinued.

### **1.5.4 Notification of Exposure Measurement Results**

EH&S will provide a report of the exposure assessment within 15 days of receiving exposure assessment results. If the results are below the accepted OELs, the PI or laboratory supervisor will be notified and asked to post results in the laboratory and/or inform affected employee(s) of the results. If the results are above the accepted OEL, the affected employee(s) and PI and/or laboratory supervisor will be notified and asked to meet with EH&S to discuss the results and next steps, which may include enrollment in a medical surveillance program.

## **1.6 Medical Surveillance**

Columbia University has established a medical surveillance program to address certain work place hazards, including occupational exposure to biological, chemical and physical hazards. Medical surveillance is intended to provide medical consultation in case of exposure to hazardous substance(s) above an accepted Occupational Exposure Limit (OEL) and/or an employee develops signs or symptoms associated with a hazardous chemical to which the employee may have been exposed in the laboratory. All required medical examinations and consultations shall be provided to laboratory personnel at no cost, without loss of pay, and at a reasonable time and place.

When a laboratory employee(s) is exposed to an [OSHA regulated substance](#), the laboratory worker shall be required to obtain medical consultation and examination, under the following conditions:

- an employee develops signs or symptoms associated with a hazardous chemical to which the employee may have been exposed in the laboratory.
- an exposure assessment reveals exposure above the OSHA Action Level (AL), Permissible Exposure Limit (PEL) or Short-term Exposure Limit (STEL).
- an event takes place in the work area such as a spill, leak, explosion, or other occurrence resulting in the likelihood of an exposure above OSHA defined limits.
- working with certain biological, chemical, and physical agents including employees who work with patients, laboratory animals, bloodborne pathogens, other potentially infectious materials, certain chemicals, or whose work requires the use of a respirator.
- See the [Medical Surveillance Policy](#) for further guidance.

The physician or other licensed healthcare professional shall keep written records of all such medical examinations and must maintain these records for the duration of the employee's employment, plus 30 years. Employees shall have access to their medical records in accordance with OSHA's [Access to employee and medical records standard \(29CFR1910.1020\)](#).

## **1.7 Chemical Purchase, Receipt, Inventory and Shipment**

### **1.7.1 Chemical Purchase and Inventory Control**

Purchase chemicals in the smallest quantity sufficient for your work. While it is often possible to save money by purchasing materials in bulk, these quantities are usually much more than are necessary for most research laboratories. When these chemicals are stored with no foreseeable use, or to the point that they become degraded, they are considered to be inherently waste-like and must be disposed as hazardous waste.

### **1.7.2 Chemical Inventory**

Each laboratory or laboratory group shall compile and maintain a chemical inventory of all hazardous chemicals normally used or stored in the laboratory. The list shall include relevant information about each chemical, including where it is normally used or stored. This inventory shall be updated as needed, but not less than annually. Refer to your laboratory's LATCH for additional information about chemical inventory requirements.

On the Morningside campus, the [Chemical Tracking System \(ChemTracker\)](#) is used to maintain inventories of chemicals used by laboratories. At the Lamont Doherty Earth Observatory (LDEO), the LDEO Chemical Hazardous Materials Database is used and is accessible @ <http://admin.ldeo.columbia.edu/lhmd/lhmd.php>. At Columbia University Medical Center (CUMC) and Nevis, laboratories should follow the instructions in the LATCH for completing preparing a chemical inventory.

### **1.7.3 Movement/Transport of Chemicals**

Movement of hazardous materials within the laboratory or about the campus must receive careful consideration. Secondary containers/totes and/or utility carts must be used whenever hazardous substances are transported. Secondary containers/totes can be made of rubber, metal, or plastic, and should be large enough to hold the contents of the primary container should it break, and must be resistant to reacting with the hazardous material being transported. Secondary containers/totes are available commercially through laboratory equipment suppliers and should be standard laboratory equipment. At the Morningside campus, a limited supply of secondary containers/totes are available on loan from the Biological Stock Room and the ChemStore. Use both hands when moving chemicals, one under the vessel and the other around the neck of the bottle.

Before moving any compressed gas cylinder, ensure that the valve is protected by securing the cap to the cylinder and securely strapping the cylinder to a cylinder cart.

The following items and hazardous substances are to be transported via freight elevators and may not be transported using passenger elevators when a freight elevator is available.

- Animals, animal bedding, and animal equipment;
- Hazardous chemicals and samples, including dry ice;
- Radioactive materials;
- Chemicals in open containers;
- Biological materials and samples;
- Compressed gas cylinders and cryogenic liquids;
- Laboratory items requiring the use of a cart or hand truck.

#### **1.7.4 Shipment of Hazardous Substances**

The packaging, documentation and transportation of Hazardous Materials and/or Dangerous Goods by air, ground, or water is highly regulated by the Federal Aviation Administration (FAA), International Air Transport Association (IATA), [United States Department of Transportation \(USDOT\)](#) and [International Maritime Dangerous Goods \(IMDG\)](#). These regulations are aimed at preventing transportation accidents and protecting the public through a variety of administrative and physical controls. These federal regulations also apply to inter-campus transportation and shipments on public roadways. In order to perform any function associated with the transportation of Hazardous Materials or Dangerous Goods, individuals must be trained.

Researchers planning to send a shipment that may contain a hazardous material must first determine the nature of the hazard. EH&S has developed [resources for shipping hazardous materials](#) which can be used as a starting point for determining the proper procedures required for shipping a hazardous material, including radioactive materials, infectious substances, or chemicals, and subsequently the steps that should be taken to begin the shipping process. Based on the results of a preliminary classification, researchers may be directed to complete specialized [training\(s\)](#) prior to offering shipments of certain dangerous goods such as [dry ice](#) or limited categories of biological materials to carriers, or researchers may be required to complete the [Intent to Ship Hazardous Materials Form](#) and submit it to EH&S for further instructions.

## **1.8 Training and Information**

Training is the cornerstone of any successful health and safety program and is the fundamental element of EH&S's commitment to ensuring Columbia University maintains and promotes a safe workplace. Many activities that take place in the course of research, academia and/or clinical care require specialized instruction on how these activities can be conducted safely and with minimal exposure to workplace hazards.

Every member of the University community engaged in laboratory operations is obligated to participate in the University's safety training program. This obligation may be established by a regulatory agency, a condition of a grant, a University policy, a departmental requirement or as a combination of two or more of these mandates. Safety training course requirements and training frequency can be determined by visiting [EH&S's Safety Training webpage](#).

EH&S provides a wide range of safety training programs, presented in multiple formats and media, which are dynamic, highlighting newly identified hazards, hazard mitigation strategies and regulatory requirements in an effort to maintain pace with the ever evolving landscape of scientific research. The Laboratory Safety/Chemical Hygiene/Hazardous Waste Management training is required of all laboratory personnel working in a laboratory of with chemicals. This course is designed for developing an understanding of the Columbia University Chemical Hygiene Plan and the laboratory-specific LATCH and establishing good laboratory hygiene practices, as well as identifying methods for detecting the presence or release of a hazardous chemical and the physical and health hazards of exposure along with effective measures for protecting laboratory personnel, including appropriate work practices, emergency procedures, and PPE selection, use and maintenance.

EH&S provides supplemental information to help keep the Columbia University research community informed about the potential hazards in research laboratories, including EH&S's quarterly newsletter [SafetyMatters](#), [safety brochures](#), [FDN\(wh\)Y me?](#), Lessons Learned Bulletins from incidents and near-miss incidents, and various other guidance documents.

## **1.9 Recordkeeping**

### **1.9.1 Personal Exposure Monitoring**

EH&S shall maintain accurate records of any measurement taken to monitor employee exposures for the duration of employment plus 30 years in accordance with the requirements of OSHA's [Access to employee and medical records standard \(29CFR1910.1020\)](#). EH&S shall also keep any results of routine and non-routine personal and/or area monitoring and evaluations of worker exposures to chemicals as a result of accidents, spills, fires, or explosions.

### **1.9.2 Training Records**

All personnel training records are maintained in Rascal, including records of "live" training, provided that attendees note their attendance on the sign-is sheet at the time of training.

### **1.9.3 Medical Surveillance/Consultation Records**

The physician or other licensed healthcare professional shall keep written records of all medical examinations and maintain these records for the duration of the employee's employment, plus 30 years.

### **1.9.4 Availability of Records**

Employees shall have access to their medical records in accordance with OSHA's [Access to employee and medical records standard \(29CFR1910.1020\)](#).

### **1.9.5 Availability and Annual Review of the Chemical Hygiene Plan**

The University's CHP shall be made available to all laboratory personnel via the University Health & Safety Manual at [www.ehs.columbia.edu](http://www.ehs.columbia.edu). The laboratory-specific Laboratory Assessment Tool and Chemical Hygiene Plan (LATCH) shall be made available to all laboratory personnel in paper within the individual laboratory for which the LATCH applies.

To determine the effectiveness of the CHP, EH&S's Research Safety Specialists and laboratory personnel will conduct periodic laboratory inspections to review laboratory safety practices and CHP practices. The CHP shall be reviewed and updated by the Chemical Hygiene Officer (CHO) at least annually, or more frequently based on findings, observations and procedural changes.

## **1.10 Waste Management**

Federal, state and local regulations, as well as Columbia University policy, prescribe procedures for the management of biological, [chemical](#) and radioactive wastes. The University's [Policy on Drain Disposal of Chemicals](#), [5Ls of Hazardous Waste Management](#), [Biological Waste Management](#) and [Radioactive Waste Management](#) procedures comprise the guidelines laboratory personnel must follow to safely manage waste products from research activities. All laboratory staff should be familiar with the guidelines for biological (regulated medical waste), chemical and radioactive waste management and disposal at <http://www.ehs.columbia.edu/WasteMgt.html>. Please review these guidelines regularly and utilize the online [chemical pickup request form](#) and [radioactive waste request form](#) for requesting waste removal from your laboratory by EH&S.

## **1.11 Emergency Procedures**

### **1.11.1 Chemical Spills**

Laboratory personnel must know what procedures to follow in the event of a [chemical release](#). They must know how to report the incident and clean up the spill, if possible. Inappropriate actions or inaction by personnel can delay appropriate response activities and worsen the situation. Proper emergency response depends upon knowledge of the chemicals present in the lab. For this reason, laboratories at the Columbia University Medical Center are required to submit a complete

inventory of all the hazardous chemicals in their laboratories. Inventories must be reviewed annually and/or whenever new chemicals are acquired. At the Morningside campus, online inventories are maintained centrally through the [ChemTracker System](#)

Chemical spills must be cleaned up promptly, efficiently, and properly. The immediate cleanup of a spill limits exposure to toxic materials prevents possible slips and falls, as well as fire and explosions.

Spills are classified as manageable or unmanageable. Manageable spills are spills that do not spread rapidly, do not seriously endanger people or the environment, and can be managed safely by lab personnel familiar with the hazardous properties of the chemical without the assistance of EH&S personnel. All other spills are considered unmanageable. See the EH&S guidelines for response to Chemical Spills and Explosions.

### **Manageable Spills**

In the event of a manageable spill, the following procedure must be followed:

- Alert people in the immediate area. Avoid breathing vapors and quickly determine the identity and quantity of the spilled material.
- Consult the Safety Data Sheet (SDS) for hazardous properties, incompatibilities, and don appropriate PPE (such as safety glasses, gloves, long sleeve lab coat).
- If the spill involves a flammable liquid, turn off all ignition and heat sources.
- If the spill involves finely divided solids such nitrates, permanganates, perchlorates, they must not be allowed to come in contact with combustible materials such as wood and paper, or reducing agents. Use a scoop or dustpan and hand broom to collect finely divided solids in a plastic bag. Use an appropriate solvent to clean up residues.
- Attend to persons contaminated by chemicals by adhering to the instructions in 1.7.
- Confine spill to small area. Absorb and neutralize spill with appropriate material and create a dam around the perimeter. Use spill kit materials and components appropriate for the spilled material. Collect residue, place in a container, and dispose as hazardous waste through EH&S.
- Clean spill area with soap and water. Notify the Laboratory Supervisor and/or the Principal Investigator. EH&S must also be notified of any release of any chemicals in the laboratory, even if it is deemed manageable. Telephone numbers to call in emergencies are posted on telephones in every laboratory and on the Laboratory Signage at the entrance to each lab.

### **Unmanageable Spills**

In the event of an unmanageable spill, the following procedure must be followed:

- Do not attempt to clean up unmanageable spills.
- If spill involves a flammable liquid, turn off ignition and heat sources, if you can do this safely.
- Hold your breath and leave the spill area immediately.
- Alert people in the immediate area and post warning signs to inform others of hazard.

- Evacuate personnel and close doors leading to affected area. Keep personnel away from affected area until EH&S can evaluate the situation.
- Call EH&S and Public Safety for assistance and notify Lab Supervisor and PI.
- Determine the identity and quantity of material that has been spilled and consult SDS for hazardous properties, incompatibilities.
- Attend to persons contaminated by chemicals by adhering to the instructions in 1.7.
- After-hour spills should be immediately reported to Public Safety, which will contact EH&S for instructions. Be prepared to give the chemical name, volume spilled, location (building and room), and any other pertinent information.
- Ensure a person knowledgeable of the incident is available to provide information to emergency personnel.

### **1.11.2 Chemical Spill Kit**

All Columbia University laboratories shall have access to a chemical spill control kit, capable of controlling a spill of any hazardous material in the lab. A spill kit can be assembled by the laboratory and include an organized collection of absorbent pads, corrosive neutralizers, handheld broom and dustpan and other equipment suitable for addressing manageable laboratory spills or a laboratory can purchase a commercially available spill kit from laboratory supply company. The goal is for each laboratory to have immediate access to a spill kit for the hazardous substances used or stored in the laboratory. All laboratory personnel must be familiar with the spill kit storage location and use of the spill kit.

### **1.11.3 Emergency Drench Equipment**

**Eye-Face Wash/Drench Hose** - Laboratories where hazardous substances are used or stored shall be equipped with an eye-face wash/drench hose as detailed in the Columbia University Guidelines for Laboratory Design. The devices are intended to provide a continuous stream of clean, flushing fluid to rinse the eyes or body in the event of a hazardous substance exposure. Laboratory personnel shall perform a weekly test by activating the device for a period long enough to verify operation and ensure that clean flushing fluid is available.

**Overhead Emergency Shower** - Laboratories where hazardous substances are used or stored shall be equipped with an overhead emergency shower as detailed in the Columbia University Guidelines for Laboratory Design. The devices are intended to provide a continuous stream of clean, flushing fluid to rinse the body in the event of a hazardous substance exposure. Facilities Operations shall perform an annual test by activating the device for a period long enough to verify operation and ensure that clean flushing fluid is available.

### **1.11.4 Accidents, Injuries and Medical Emergencies**

Accidents, injuries and medical emergencies in and around the laboratory require immediate attention. Such emergencies must be reported immediately to the campus' [appropriate emergency contact](#) and the laboratory supervisor and/or PI. All emergencies involving personal injury must be reported using the [Columbia University Accident Report Form](#).

When hazardous substances are involved in an accident, injury or medical emergency, EH&S must be contacted immediately. EH&S can advise on the best approaches to immediate action and measures to avoid the spread or cross-contamination of hazardous materials. Information about the hazardous substance(s) should be readily available (i.e., name, concentration, quantity, etc) and a SDS should accompany any injured personnel when seeking medical assistance.

### **1.11.5 Fire**

Research laboratories differ from other work environments in that they usually contain a variety of fire hazards. Laboratories are equipped with multi-purpose, dry chemical (ABC) or CO<sub>2</sub> extinguishers, which can be used on all types of fires with the exception of reactive metals, which must use extinguishing agents suitable for the particular metal. Laboratory workers are trained by EH&S in the [RACE and PASS procedures](#). Refer to the [Fire Safety Manual](#) for additional information.