

FWA #: FWA00003831

OMB No. 0990-0278

Institution: Trustees of Columbia University in the City of New York (The)

Expires: 05/19/2031

## Federalwide Assurance (FWA) for the Protection of Human Subjects

### **1. Institution Filing Assurance**

Legal Name: Trustees of Columbia University in the City of New York (The)

City: New York

State/Province: NY

Country: USA

### **2. Institutional Components**

List below component organizations over which the institution has legal authority that operate under a different name. Also list with an asterisk (\*) any alternate names under which the institution operates.

NOTE: The Signatory Official signing this Assurance must be legally authorized to represent the institution providing this Assurance and all component organizations listed below.

<b>Name of Component Organization or Alternate Names Used</b>	<b>City</b>	<b>State (or Country if Outside U.S.)</b>	
Lamont-Doherty Earth Observatory	New York	NY	A
Earth Institute	New York	NY	A

### **3. Applicability**

This Assurance applies whenever this institution becomes engaged in human subjects research conducted or supported by any U.S. federal department or agency that has adopted the U.S. Federal Policy for the Protection of Human Subjects (also known as the Common Rule), unless the research is otherwise exempt from the requirements of the Common Rule or the department or agency conducting or supporting the research determines that the research shall be conducted under a separate assurance.

### **4. Assurance of Compliance with the Terms of the Federalwide Assurance**

This institution assures that whenever it engages in research to which this Assurance applies, it will comply with the Terms of the Federalwide Assurance, which are contained in a separate document on the Office for Human Research Protections (OHRP) website here: <https://www.hhs.gov/ohrp/register-irbs-and-obtain-fwas/fwas/fwa-protection-of-human-subject/index.html>.

**5. Institutional Review Boards (IRBs)**

This institution assures that it will rely upon only IRBs registered with OHRP for review of research to which this FWA applies.

**6. Human Protections Administrator (e.g., Human Subjects Administrator or Human Subjects Contact Person)**

First Name: **Ashley** Middle Initial: Last Name: **Halinski**  
Degrees or Suffix: Institutional (i.e., Job) Title: **Interim Co-Director**  
Institution: **Columbia University in the City of New York**  
Telephone: **929 746-0251** E-Mail: **ah3675@cumc.columbia.edu**  
Address: **Human Research Protection Office**  
**154 Haven Avenue, 2nd Floor**  
City: **New York** State/Province: **NY** Country: **USA**

**7. Signatory Official (i.e., Official Legally Authorized to Represent the Institution)**

*I have read and agree to the Terms of the Federalwide Assurance.*

*I recognize that providing research investigators, IRB members and staff, and other relevant personnel with appropriate initial and continuing education and training about human research protections will help ensure that the requirements of this Assurance are satisfied.*

Acting officially in an authorized capacity on behalf of this institution and with an understanding of the Institution's responsibilities under this Assurance, I assure protections for human subjects as specified above.

All information provided with this Assurance is up-to-date and accurate. I am aware that false statements could be cause for invalidating this Assurance and may lead to other administrative or legal action.

Signature: **Jeannette Wing Ph.D.**

Date: **05/18/2026**

First Name: **Jeannette** Middle Initial: Last Name: **Wing**

Degrees or Suffix: **Ph.D.** Institutional (i.e., Job) Title: **Executive Vice President for Research**

Institution: **Trustees of Columbia University in the City of New York (The)**

Telephone: **212 854-1656** E-Mail: **jw3585@columbia.edu**

Address: **313 Low Memorial Library  
535 W. 116th Street MC 4310**

City: **New York** State/Province: **NY** Country: **USA**

**8. FWA Approval**

The Federalwide Assurance for the Protection of Human Subjects submitted to HHS by the above Institution is hereby approved.

Assurance Number: **FWA00003831**

Expiration Date: **05/19/2031**

Signature of HHS Approving Official: **Cecilia Chirinos**

Approval Date: **05/19/2026**

According to the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. The valid OMB control number for this information collection is 0990-0278 . The time required to complete this information collection is estimated to average 20 minutes per response, including the time to review instructions, gather the data needed, and complete and review the information collection. If you have comments concerning the accuracy of the time estimate(s) or suggestions for improving this form, please write to: U.S. Department of Health & Human Services, OS/OCIO/PRA, 200 Independence Ave., S.W., Suite 336-E, Washington D.C. 20201, Attention: PRA Reports Clearance Officer.